



VIA HAND DELIVERY FEBRUARY 28, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: **HASTINGS et al.**

Application Serial No.: 09/170,042

Art Unit: 1647

Filed: October 13, 1998

Examiner: Hayes, R.

For: **HUMAN NEURONAL  
ATTACHMENT FACTOR-1**

Attorney Docket No.: PF226D1

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**SECOND PRELIMINARY AMENDMENT AND  
RESPONSE TO COMMUNICATION**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination on the merits, and in response to the Communication mailed on September 29, 2000 (Paper No. 6), Applicants respectfully request entry and consideration of the following amendments and remarks. Applicants submit concurrently herewith (a) a Fee Transmittal Sheet; (b) a Petition for an Extension of Time for a period of time of four months up to and including February 28, 2001; (c) a Power of Attorney by Assignee of Entire Interest; (d) a Version With Markings To Show Changes Made; (e) a Submission of Substitute Formal Drawing with one sheet; (f) a copy of the originally-filed formal drawing of Figure 2 showing proposed changes in red; (g) a Second Substitute Sequence Listing in both paper and computer readable (CD-R) form; and (h) a Statement Under 37 C.F.R. §§ 1.821 and 1.825. Please amend the application as follows: